



LARGE SYNOPTIC SURVEY TELESCOPE

# Large Synoptic Survey Telescope (LSST) Lossy Compression WG Report

A. Author, B. Author, and C. Author

DMTN-068

Latest Revision: 2018-04-27

**DRAFT**

## Abstract

We report on the investigation into the use of lossy compression algorithms on LSST images that otherwise could not be stored for general retrieval and use by scientists. We find that modest quantization of images coupled with lossless compression algorithms can provide a factor of  $\sim 6$  savings in storage space while still providing images useful for followup scientific investigations. Given that this is only means that some products could be made quickly available to users and would free resources for community uses that would otherwise be necessary to re-compute these products, we recommend that LSST consider using a lossy compression to archive and serve image products where appropriate.

## Change Record

Version	Date	Description	Owner name
1	2017-04-17	Initial release. Based on LDM example	Tim Jenness
2	yyyy-mm-dd	Future changes	Future person

Draft

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# Lossy Compression WG Report

## 1 Introduction

The Lossy Compression WG was formed in response to RFC-325 with its charter being [LDM-582]. In RFC-325 it was recognized that user experience would likely be unacceptably impacted by the long latency required to access some LSST image data. Central to this concern is that the current data model does not support storage and serving of processed visit images (PVI), i.e. the detrended, calibrated individual exposures from the survey. Instead, users needing such images would either have to rely on retrieval from tape media or regeneration of the PVI on-the-fly.

Previous analysis has indicated that retaining all processed images on disk would be too costly and therefore not feasible, unless lossy compression is applied. The same analysis did indicate that storing all raw data on disk (with a loss-less compression) is feasible. The Lossy Compression WG was asked to investigate whether some pipeline products might be saved after applying a lossy compression algorithm without significantly degrading their suitability for a wide range of scientific investigations. Central to this is the need that the compressed products be small enough that the cost to store and serve these images could be met within a reasonable budget. The benefit from storing compressed products would only be realized if those products were indeed useful for many users as it would free resources that otherwise would be engaged in regenerating or serving a tape archive.

The LSST project has traditionally avoided lossy compression for any of its image data products (including the large co-added images as well as templates retained for each data release). Anecdotal experience from other recent surveys indicate that science ready images stored with a lossy compression satisfy the scientific needs of their user communities. For example, the Dark Energy Survey (DES), uses FPACK (Pence et al., 2009) with a quantization of 16, and Pan-STARRS reportedly uses 4-bits per standard deviation (also equivalent to a quantization factor of 16) but with an inverse hyperbolic sine transformation to concentrate the sampling near the background level (Waters et al., 2016). Indeed, Price-Whelan & Hogg (2010) have argued that none of the scientific information is lost in an astronomical image even with fairly drastic quantization (at levels as high as  $0.5\sigma$ ). The tests used by Price-Whelan & Hogg (2010) were relatively idealized, in this note we describe the results from a small test using precursor data from HSC to provide a sense of how lossy compression might be applied for LSST.

## 2 Methodology

This investigation is not meant to address the specific file format(s) that might be used to store LSST data (e.g.; FITS vs. HDF5). The tests that have been made were performed using images stored using FITS, mainly because the changes necessary could be used within the current LSST pipeline testing infrastructure. The specific images used were a set of HSC data that formed a modest depth patch on which pipeline regression testing was already being routinely performed in the development of the LSST pipelines (the *ci\_hsc* test set). For this test set there were 33 images/CCDs, from 11 visit/exposures, at two bands (HSC-R, HSC-I). Included among these images are a 4 images near the edge of the HSC focal-plane, where vignetting causes a portion of the detector to be unusable for science. These regions are masked and present very different noise characteristics but are useful because they show some caveats that must be considered when applying compression.

In this investigation we have separated the loss from the actual compression algorithm. A change has been injected into the pipeline that allows for a quantization to be applied to the science (and weight) images that are traditionally stored as floats. Formally, the quantization factor,  $q$ , determines the number of samples/subdivisions of some set number, in this case the standard-deviation of the image pixel values that do not contain a detected source. For a FITS image this is expressed as a scale factor (BSCALE) and the image pixel values are converted to the nearest integer multiple of this factor. We then use existing loss-less compression algorithms to compress the integer representation of the image to achieve a compressed image. Our tests varied the factor  $q$  from 4 to 128 (stepping by factors of 2).

Metrics are then obtained to understand the impact and efficacy of compression. Broadly, these fall into three categories:

1. **Image Compression benchmarks:** to measure the changes at the pixel level. These include: percent increase in noise/RMS, median difference, and number of pixels that change by more than the quantization level (to catch cases where the integer representation is not able to capture the full dynamic range of the original images).
2. **Catalog/Measurement benchmarks:** to measure the change of aggregate quantities of interest for scientists using the images for scientific measurements. The current benchmarks being measured are source position, flux, and shape along with their associated uncertainties.
3. **Compression algorithm benchmarks:** to measure the compression factor achieved,

along with algorithm execution times for compression and decompression.

In addition a second set of image and catalog benchmarks are obtained to assess the changes that might be expected when quantized products are combined to form stacked images from which astronomical source measurements are also obtained.

## 3 Results

### 3.1 Single Image Compression Benchmarks

At the image level, independent measurements of the noise in the original science and weight images ( $I_0, W_0$ ) and the quantized versions ( $I_q, W_q$ ) are made. The algorithms used are independent of those that performed the estimates used to set the quantization. In most cases we consider only pixels with FLAG=0 or FLAG=32 (which indicates the presence of a source) as heavily masked regions often have values (particularly in the weight image) that can exceed the range accessible in the quantized images.

Figure 1 shows the detailed distribution of pixels values for the science and weight planes from two images typical of those in the test set. The first is a typical image, while the second is atypical in that the image comes from the edge of the HSC focal plane where vignetting and hence significant masking occurs. The difference in the distributions are most apparent in the weights, where it becomes clear that for the quantization/noise-estimation algorithms to behave consistently, flagged pixels need to be rejected. A modest amount a caution should be exercised so that image compression acts consistently. Moreover, it should be noted that flagged data might have very different characteristics after quantization is applied and their behavior if included in measurements would perform worse than what is seen in the further tests made in this exercise. The distributions being considered when determining the quantization factor exclude all masked pixels (i.e. "mall" and "wmall") but the distributions being analyzed in this work need to understand the effect on pixels with objects/sources, so we typically include pixels with FLAG=32 (i.e. "mn32" and "wmn32").

Table 1 summarizes the effect of quantization on the original images. There we include the range of BSCALE's (the quantization factor that resulted) for both the science and weight image planes and then further examine the RMS in the images and examine the fractional increase when comparing the measurements on the quantized and un-quantized versions of the image. The RMS values for the "Original" images are not the same as that used when setting

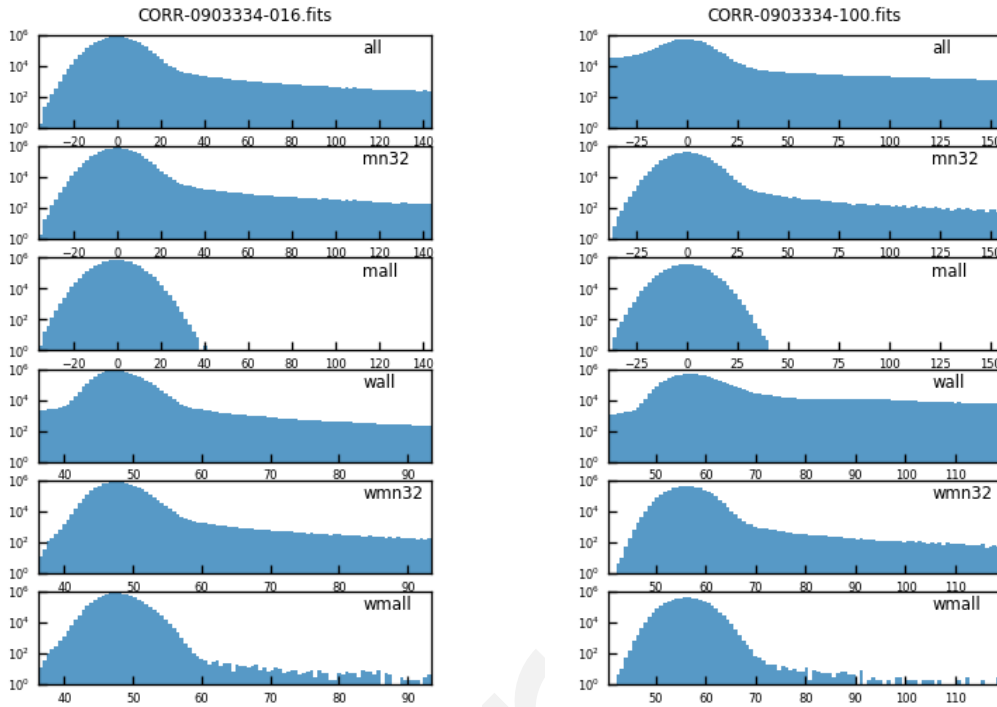


FIGURE 1: Distributions of pixel values from two images in the test set. The left panels show distributions from a “normal image”, while the right panels are for an image near the edge of the focal plane with heavy masking. (top) to (bottom) the panels show: all science plane pixels (all), all science pixels with MASK=0 or 32 (mn32), and all unmasked pixels (mall), followed by similar distributions for the weight plane (wall, wmn32, and wmall). The “mall” and “wmall” are roughly the distribution used to estimate the quantization level while “mn32” and “wmn32” are those used when considering the difference due to quantization.

BSCALE (for the quantization) because RMS values draw from distributions that include the source pixels (i.e. FLAG=32). Note that as early as a quantization factor of  $q=32$ , but clearly at  $q=128$ , the change in the RMS of the images cannot be well measured because the accuracy needed is not available when considering science and weight images comprised of 32-bit floats.

Beyond the bulk comparison, we have also made check to examine the detailed differences between the quantized and unquantized version of an image ( $I_{diff} = I_q - I_0$ ). First the mean,  $\bar{I}_{diff}$ , and RMS,  $\sigma_{I_{diff}}$ , are computed to show that no systematic offset occurs and that the noise in the difference is indeed less than the scale factor. We then also search for pixels where the difference exceeds the quantization level. For most images this latter value is identically zero but in a small number of cases the pixels in a bright object will exceed the range available in the quantized image (i.e. the integer representation has insufficient cardinality to

TABLE 1: Summary of BSCALE Values that Resulted in this Test

q	Science Plane				Weight Plane			
	Min-Max BSCALE	Median BSCALE	Median RMS	% RMS Growth	Min-Max BSCALE	Median BSCALE	Median RMS	% RMS Growth
Sample 1 (HSC-R images)								
Original			7.281				2.327	
4	1.665–2.056	1.851	7.298	0.229	0.368–0.977	0.605	2.337	0.417
8	0.832–1.028	0.926	7.294	0.175	0.184–0.489	0.303	2.336	0.374
16	0.416–0.514	0.463	7.290	0.126	0.092–0.244	0.151	2.330	0.120
32	0.208–0.257	0.231	7.283	0.029	0.046–0.122	0.076	2.327	<0.001
64	0.104–0.129	0.116	7.282	0.013	0.023–0.061	0.038	2.327	0.012
128	0.052–0.064	0.058	7.280	<0.001	0.012–0.031	0.019	2.327	<0.001
Sample 2 (HSC-I images)								
Original			12.471				3.925	
4	3.012–3.420	3.226	12.516	0.357	0.789–1.569	1.046	3.938	0.351
8	1.506–1.710	1.613	12.497	0.201	0.395–0.784	0.523	3.934	0.229
16	0.753–0.855	0.807	12.485	0.112	0.197–0.392	0.261	3.926	0.034
32	0.376–0.428	0.403	12.473	0.009	0.099–0.196	0.131	3.925	0.008
64	0.188–0.214	0.202	12.473	0.015	0.049–0.098	0.065	3.924	<0.001
128	0.094–0.107	0.101	12.472	0.001	0.025–0.049	0.033	3.924	<0.001

track the dynamic range in the image). If flagged pixels are included, then there are typically more pixels that exceed this range and in the worst cases (e.g. images from CCDs that are vignetted) a large fraction of the weight pixels cannot be tracked.

We then measure the standard deviation (RMS) in each science and weight image ( $\sigma_{I_q}$  and  $\sigma_{W_q}$  respectively) to understand the fractional increase in the image noise from the quantization ( $\sigma_{grow} = \sqrt{\sigma_{I_q}^2 - \sigma_{I_0}^2}$ ). Figure 2 show histograms of these metrics based on the images in this test set. The left panels show the residual noise as measured from the difference between the unquantized and quantized images. The right panels show the fractional additive noise resulting from the quantization. Note that the number of samples in the histograms for  $q=64$  and  $128$  are smaller than the total because the measurement of the standard deviation is approaching the machine accuracy (i.e.  $\sigma_{I_q}$  differs from  $\sigma_{I_0}$  by less than a part in  $10^6$ ).



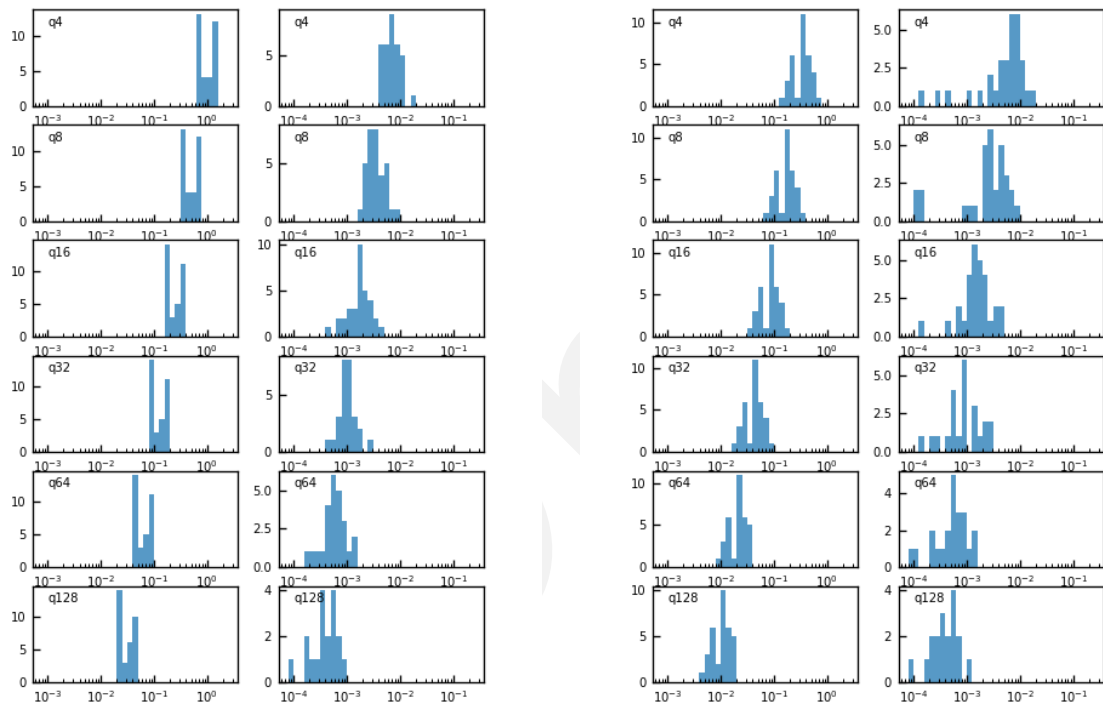


FIGURE 2: Histograms showing image level statistics with respect to the original compressed image. (left two panels) are histograms showing the RMS of the difference between the quantized and original images, and the fractional increase in the noise with respect to the original images. The (right two panels) are the same analysis but carried out for the weight planes.

## 3.2 Composite Image Benchmarks

Beside the individual images, the current tests construct a coadded patch. To be clear, this should not be mistaken for constructing a coadded patch, then quantizing, then repeating the previous analysis. Here the focus is to understand how properties of the coadd images change when they are constructed using quantized image products.

To do this we compare coadd images constructed from the original, never-quantized images with coadd images constructed from the quantized images. In the current limited test, only two coadded images were produced (a single patch with two bands) thus we have only two samples to examine. The comparison is further hampered both because the depth of these coadd images is shallow (there are only 5 or 6 visits being combined per coadd compared to 100's or even 1000's that can be expected from LSST) and to complicate matters further, the images are no longer in counts (they have been scaled based on a photometric calibration) and an outlier rejection algorithm is active within the pipeline.

With these caveats in mind, the comparison at the image level is similar to that made for the individual images except that a constraint has been added to remove locations where the clipping algorithm has systematically rejected one of the images. In the test set there were of order a few such regions per coadd image, overall they were comprised of a few 10,000's of pixels at  $q=4$  dropping to 1,000 pixels at  $q=64$ .

The results from the two available samples are summarized in Table 2. The RMS in the coadd image does not vary substantially with the quantization factor. However, when the difference between the coadds constructed from unquantized and quantized images are considered the residual is comprised of a roughly Gaussian distribution but with an underlying broader distribution that has roughly 10 times the width but 100-1000 times less amplitude. In Table 2 we summarize the width/extent by tracking the min and max differences seen in the image/weight planes.

TABLE 2: Differences in Coadd Images Constructed from Quantized Images

q	Science Plane			Weight Plane		
	std( $I_{diff}$ )	min( $I_{diff}$ )	max( $I_{diff}$ )	std( $W_{diff}$ )	min( $W_{diff}$ )	max( $W_{diff}$ )
Sample 1: HSC-R coadd ( $I_{RMS} = 0.134, W_{RMS} = 0.0037$ )						
4	$1.3 \times 10^{-2}$	$-1.1 \times 10^{-1}$	$9.0 \times 10^{-2}$	$3.8 \times 10^{-5}$	$-5.7 \times 10^{-4}$	$5.7 \times 10^{-4}$
8	$6.6 \times 10^{-3}$	$-5.3 \times 10^{-2}$	$4.2 \times 10^{-2}$	$1.9 \times 10^{-5}$	$-2.8 \times 10^{-4}$	$2.8 \times 10^{-4}$
16	$3.3 \times 10^{-3}$	$-2.4 \times 10^{-2}$	$2.1 \times 10^{-2}$	$1.0 \times 10^{-5}$	$-1.4 \times 10^{-4}$	$1.4 \times 10^{-4}$
32	$1.7 \times 10^{-3}$	$-1.4 \times 10^{-2}$	$1.4 \times 10^{-2}$	$5.0 \times 10^{-6}$	$-7.1 \times 10^{-5}$	$7.1 \times 10^{-5}$
64	$8.3 \times 10^{-4}$	$-6.5 \times 10^{-3}$	$5.6 \times 10^{-3}$	$2.0 \times 10^{-6}$	$-3.6 \times 10^{-5}$	$3.6 \times 10^{-5}$
128	$4.1 \times 10^{-4}$	$-3.4 \times 10^{-3}$	$3.4 \times 10^{-3}$	$1.0 \times 10^{-6}$	$-1.8 \times 10^{-5}$	$1.8 \times 10^{-5}$
Sample 2: HSC-I coadd ( $I_{RMS} = 0.232, W_{RMS} = 0.0076$ )						
4	$2.3 \times 10^{-2}$	$-1.7 \times 10^{-1}$	$1.7 \times 10^{-1}$	$8.0 \times 10^{-5}$	$-1.1 \times 10^{-3}$	$1.1 \times 10^{-3}$
8	$1.2 \times 10^{-2}$	$-8.9 \times 10^{-2}$	$8.4 \times 10^{-2}$	$4.0 \times 10^{-5}$	$-5.6 \times 10^{-4}$	$5.6 \times 10^{-4}$
16	$5.9 \times 10^{-3}$	$-4.3 \times 10^{-2}$	$4.6 \times 10^{-2}$	$2.0 \times 10^{-5}$	$-2.8 \times 10^{-4}$	$2.8 \times 10^{-4}$
32	$3.1 \times 10^{-3}$	$-2.5 \times 10^{-2}$	$2.5 \times 10^{-2}$	$1.0 \times 10^{-5}$	$-1.4 \times 10^{-4}$	$1.4 \times 10^{-4}$
64	$1.8 \times 10^{-3}$	$-1.8 \times 10^{-2}$	$1.7 \times 10^{-2}$	$5.0 \times 10^{-6}$	$-7.0 \times 10^{-5}$	$7.0 \times 10^{-5}$
128	$7.3 \times 10^{-4}$	$-5.9 \times 10^{-3}$	$5.2 \times 10^{-3}$	$2.0 \times 10^{-6}$	$-3.5 \times 10^{-5}$	$3.5 \times 10^{-5}$

### 3.3 Catalog/Measurement benchmarks

Here we outline the comparison of measurements made on individual ccd-visit images with and without quantization applied. Currently four types of measurements are considered: aperture photometry, PSF photometry, centroids, and shapes. In each case the comparison is made by using forced photometry based on the COADD catalogs from the *ci\_hsc* run without quantization. An astrometric match is made between the catalog from the never-quantized images to each of the catalogs from the quantized images with a 1'' match radius (with the nearest source being considered the match). The results from multiple CCDs are accumulated into a single plot in order to obtain statistics at the bright end.

Figures 3 show comparisons for flux measurements for aperture photometry and PSF fitting. The aperture photometry measurements *base\_CircularApertureFlux\_6\_0* use a 6 pixel radius circular aperture while the PSF fitting measurements are the *base\_PsfFlux\_flux* measurements. Note that in these plots no star-galaxy classifier was used to subselect stellar/point-source measurements.

The top two panels in each set show the total number of objects per flux bin, followed by a plot showing the flux uncertainty as a function of flux from the never-quantized image. Beneath these are plotted the difference between the measurements from the quantized images and the never-quantized images with subsequent plots using an increasing level of quantization. These difference plots are shown in units of  $\sigma_{F_0}$  (i.e. each difference measurement is scaled by the uncertainty in the flux measured in the unquantized image). Overplotted are histograms showing the difference level that encompasses 50, 75, 90, and 99% of the measurements/objects as a function of flux bin. In Table 3 we report the limiting difference that encompasses 90% of objects flux measurements for each quantization factor used in this investigation (the cyan histograms in Figure 3). From Table 3 it can be seen that for  $q = 32$ , 90% of all PSF flux measurements differ by less than  $0.1\sigma$  for objects with  $S/N=3$  or higher. Similarly, 90% of all aperture flux measurements differ by less than  $0.1\sigma$  for objects with  $S/N=5$  or higher.

Two other avenues have been attempted in looking at these measurements. The first was to examine the uncertainties. While the uncertainties do change for individual flux measurements on the quantized images, there is no evidence that they systematically increase due to the quantization. The second was an attempt to examine whether sources were lost/created due to the quantization. This was not possible in the case of this study, the measurements were made using forced photometry so the loss/gain of a source is directly tied to the detection on the coadd images (which is deeper than the individual images).

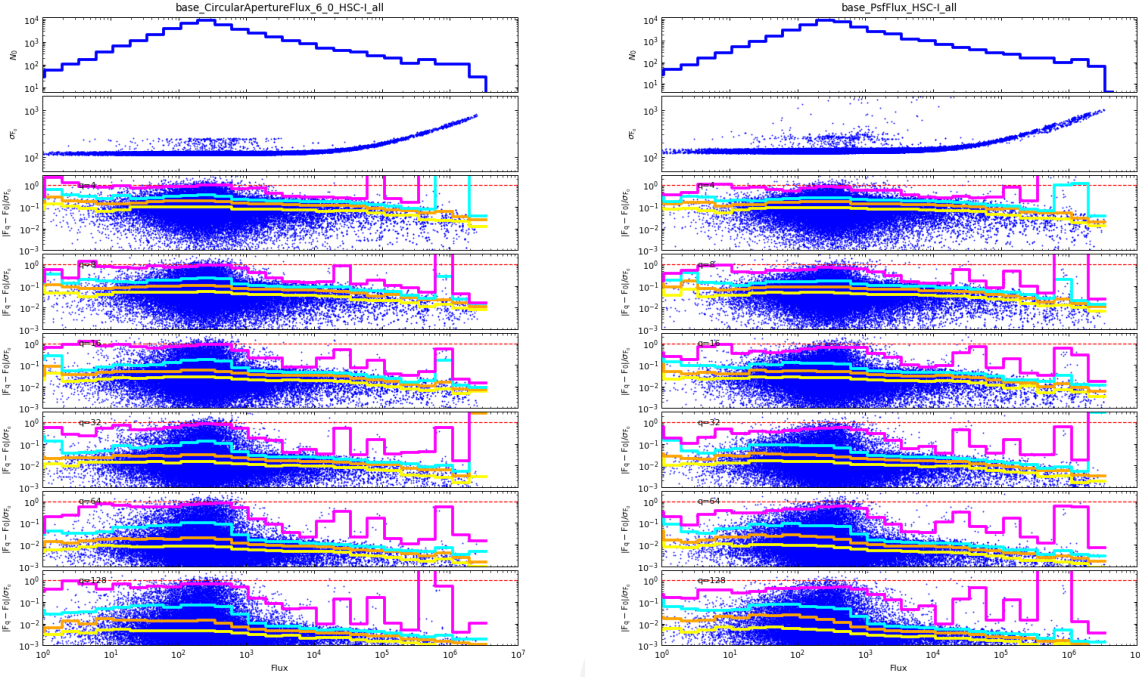


FIGURE 3: Comparison of aperture (left) and PSF photometry (right) measurements resulting from forced photometry on individual images with and without quantization/compression. The top panel in each shows the distribution of objects as a function of their flux measured in the original image(s). The second plots show measure uncertainties for those flux measurements. The panels below show the difference between the flux measurements made on the unquantized and quantized images divided by the uncertainty in the quantized images (in units of  $\sigma_{F_0}$ ). A dashed horizontal red line shows the  $1\sigma$  difference level for reference. The lower panels are for measurements from the images with progressively higher quantization factors (less loss). The histograms in each panel show the difference level at which 50, 75, 90 and 90% of the objects are found.

Similar to the flux measurements, Figure 4 shows comparisons of centroid and shape measurements (left and right panels, respectively) as a function of signal-to-noise (S/N) in the unquantized images. For the centroids we use the *base\_SdssCentroid\_x*(x), and *base\_SdssCentroid\_y*(y), to compute the linear offset  $X_q - X_0 = \sqrt{(x_q - x_0)^2 + (y_q - y_0)^2}$  between the measurements made in the quantized and unquantized images. Note that the version of forced photometry that is deployed in this test does not flag poor and low signal-to-noise measurements so those measurements pollute/inflate the distributions show in the low signal-to-noise portion of the centroid plots in Figure 4.

TABLE 3: Maximum Flux Difference (in units of  $\sigma_{F_0}$ ) for 90% of Objects

q	PSF Flux				Aperture Flux			
	S/N=3	S/N=5	S/N=10	S/N=100	S/N=3	S/N=5	S/N=10	S/N=100
4	0.266	0.234	0.208	0.171	0.332	0.269	0.208	0.171
8	0.161	0.136	0.110	0.087	0.220	0.170	0.113	0.089
16	0.106	0.078	0.056	0.044	0.163	0.113	0.058	0.044
32	0.074	0.050	0.031	0.022	0.117	0.068	0.033	0.022
64	0.067	0.043	0.018	0.011	0.097	0.064	0.019	0.011
128	0.049	0.027	0.011	0.006	0.067	0.042	0.014	0.006

TABLE 4: Maximum Centroid and Shape Difference for 90% of Objects

q	Centroid				Shape			
	S/N=3	S/N=5	S/N=10	S/N=100	S/N=3	S/N=5	S/N=10	S/N=100
4	1.791	0.898	0.269	0.013	0.370	0.231	0.112	0.010
8	1.364	0.590	0.129	0.007	0.183	0.118	0.058	0.005
16	1.063	0.375	0.066	0.003	0.255	0.083	0.030	0.002
32	0.778	0.276	0.039	0.002	0.136	0.039	0.016	0.001
64	0.704	0.258	0.036	0.001	0.080	0.030	0.009	0.001
128	0.540	0.169	0.029	<0.001	0.066	0.029	0.006	<0.001

In order to investigate the impact of quantization on shapes, we use the *base\_SdssShape\_xx*, *base\_SdssShape\_yy*, and *base\_SdssShape\_xy* measurements to form a shape measurement,  $S$ , where  $S = (I_{xx}I_{yy} - I_{xy}^2)^{1/4}$ . Assuming that those 2nd moment measurements are not strongly correlated, we also define the uncertainty in  $S$  as  $\sigma_S^2 = (\frac{\partial S}{\partial I_{xx}})^2 \sigma_{I_{xx}}^2 + (\frac{\partial S}{\partial I_{yy}})^2 \sigma_{I_{yy}}^2 + (\frac{\partial S}{\partial I_{xy}})^2 \sigma_{I_{xy}}^2$ , and use the associated uncertainties to estimate  $\sigma_S$ . Figure 4 shows these measurements and makes a comparison of the quantized measurements to those found with the unquantized images. Measurements with *base\_SdssShape\_flag* have been excluded.

In Table 4 we summarize the limiting difference that encompasses 90% of objects centroid and shape differences (the cyan histograms in Figure 4). From Table 4 it can be seen that for  $q = 16$ , 90% of all centroid measurements from sources with S/N=10 or higher differed by less than 0.1 pixel. Similarly, at  $q = 16$ , shapes/sizes differ by less than 0.1 pixel for S/N=5 or higher.

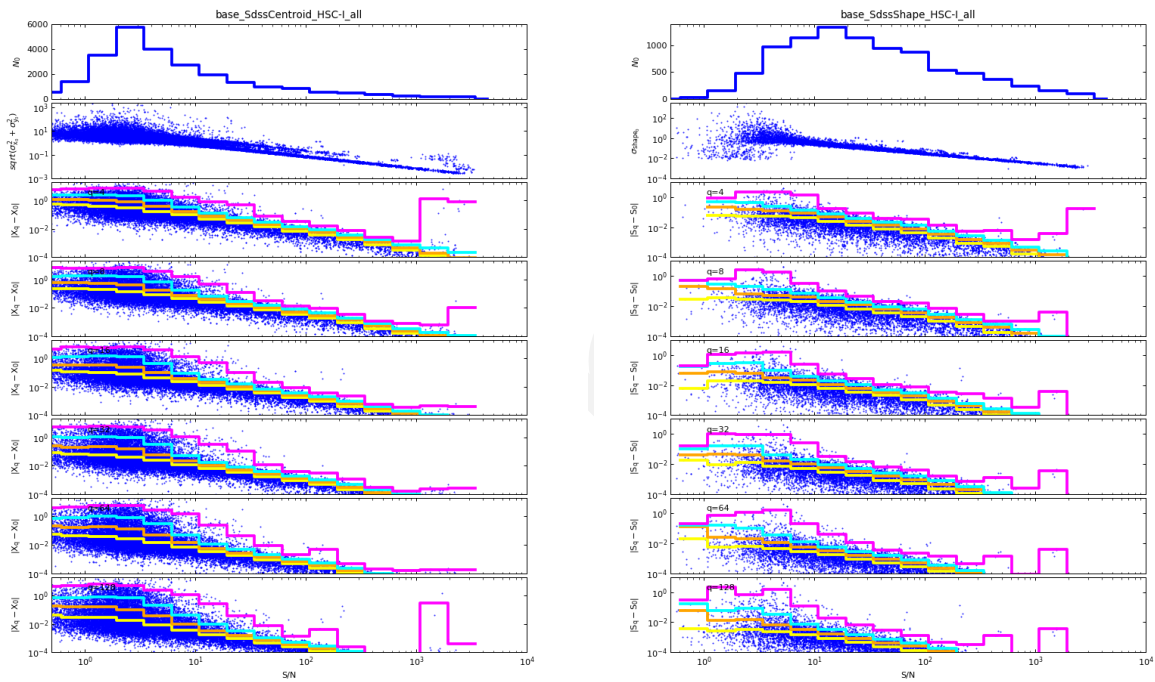


FIGURE 4: Similar to Figures 3 but for centroid (left) and shape (right) measurements as function of the signal-to-noise ratio of the unquantized measurements. The differences in the lower panels are in units of pixel offset and pixel radius for the centroid and shape measurements, respectively.

### 3.4 Catalog/Measurement from COADD images constructed from quantized PVI images

Similar to the comparisons made for the individual images, we compare the catalog measurements from the coadded patch that was constructed from the never-quantized and the quantized PVI images. The same four quantities were examined (aperture flux, PSF flux, centroid, and shape). Figures 5 and 6 show the results of that comparison and Tables 5 and 6 report the limiting difference that encompasses 90% of objects measurements.

Based on the results in Table 5 it can be seen that for coadds constructed from images with  $q = 64$ , 90% of all PSF and aperture flux measurements differ by less than  $0.1\sigma$  for objects with  $S/N=10$  or higher. While this does suggest that lower quantization factors (and higher compression factors) might be less desirable, it should be noted that these coadds, comprised of only a few visit images, are extreme cases compared to those that would result from the LSST survey (with many 100's of images per band at each location in the survey footprint). Clearly a more realistic test should be implemented.

Here we also tried to make an assessment of the loss/creation of sources due to the quantization. While this is likely possible with a little more creative work, the fast version encountered an additional "feature" in the current test pipeline. That feature was that the catalogs were rife with duplicate but not identical measurements (more than 10many fewer images than a typical LSST coadd, this signaled the point where it make more sense to wait for the development/maturation of the LSST pipelines to obtain a more accurate understanding of how the coadds built from quantized products will behave (rather than create unrealistic concerns).

The difference measured in the centroids and shapes show a somewhat different trend. Considering the vales in Table 6 the quantization also seems to make modest differences on the centroid measurements but the shapes show much smaller changes. For coadds constructed with  $q=64$ , 90% of objects with  $S/N=10$  are recovered with differences of less than 0.1 pixels (whereas the single-image measurements reached the same benchmark for  $q=16$ ). On the other hand, the shape measurements for objects with  $S/N=5$  match those in the coadds constructed from un-quantized images for  $q=16$  (similar to what was found for the single-image measurements).



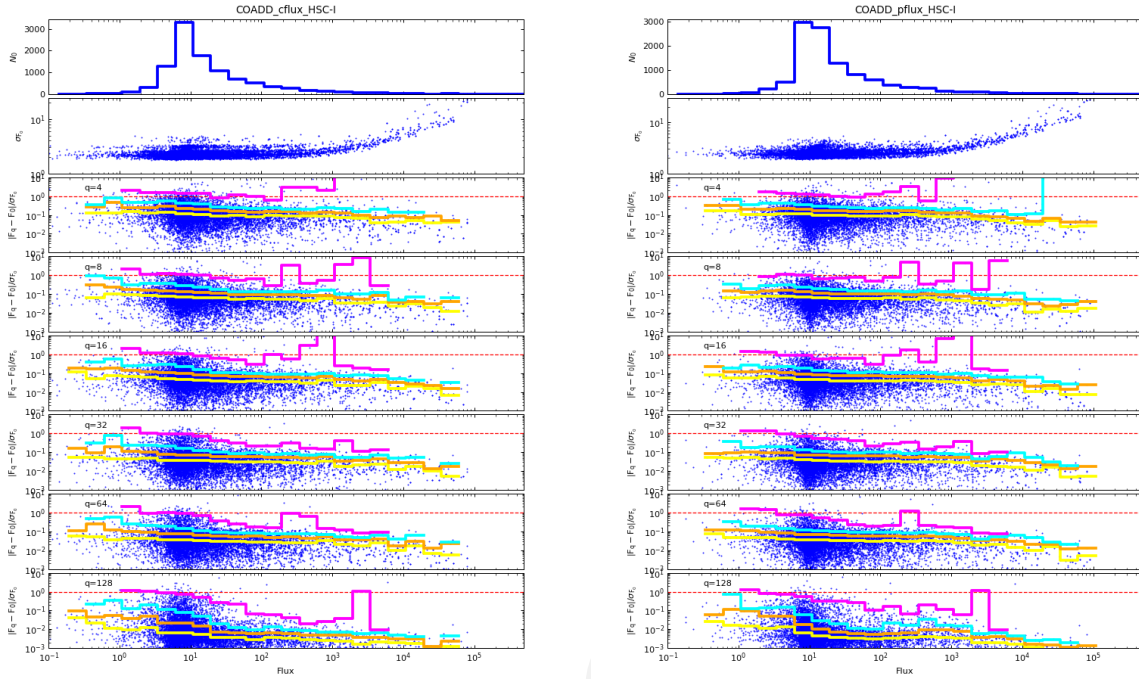


FIGURE 5: Similar to Figure 3 but for measurements made on the coadd image.

 TABLE 5: Maximum Flux Difference (in units of  $\sigma_{F_0}$ ) for 90% of COADD Objects

q	PSF Flux				Aperture Flux			
	S/N=3	S/N=5	S/N=10	S/N=100	S/N=3	S/N=5	S/N=10	S/N=100
4	0.399	0.296	0.264	0.225	0.443	0.392	0.291	0.208
8	0.296	0.193	0.181	0.152	0.281	0.255	0.184	0.140
16	0.234	0.142	0.128	0.107	0.237	0.186	0.120	0.089
32	0.182	0.114	0.107	0.086	0.174	0.147	0.103	0.077
64	0.193	0.107	0.102	0.083	0.156	0.126	0.096	0.071
128	0.114	0.034	0.019	0.024	0.080	0.067	0.030	0.010

TABLE 6: Maximum Centroid and Shape Difference for 90% of COADD Objects

q	Centroid				Shape			
	S/N=3	S/N=5	S/N=10	S/N=100	S/N=3	S/N=5	S/N=10	S/N=100
4	1.857	1.077	0.414	0.020	0.155	0.181	0.120	0.008
8	1.185	0.677	0.281	0.015	0.144	0.113	0.098	0.006
16	1.094	0.506	0.191	0.011	0.116	0.071	0.056	0.004
32	0.856	0.353	0.142	0.009	0.075	0.057	0.049	0.003
64	0.827	0.284	0.114	0.008	0.070	0.053	0.045	0.004
128	0.652	0.156	0.073	0.006	0.029	0.010	0.005	>0.001

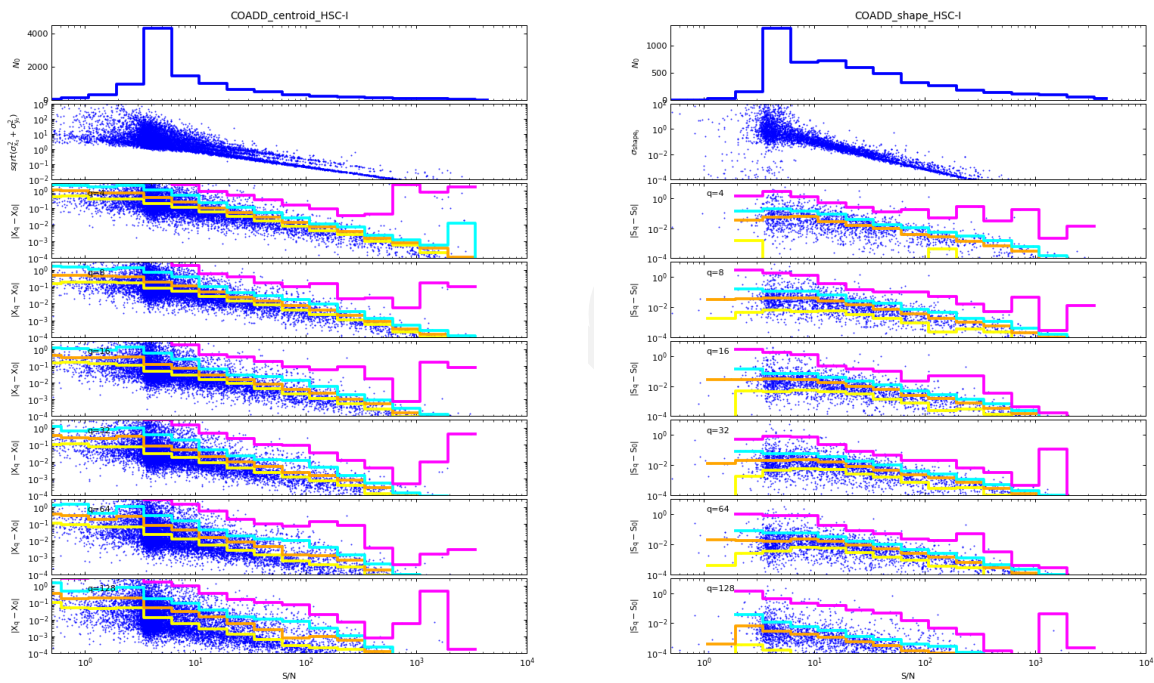


FIGURE 6: Similar to Figure 4 but for measurements made on the coadd image.

## 4 Compression Algorithm benchmarks

We have applied a variety of existing compression algorithms to the quantized images from this study to obtain benchmarks of their efficacy. The values reported reflect those algorithms' performance when running under OS X 10.13.2 (macOS High Sierra) on a MacBook Pro with quad 2.9 GHz processors. A ramdisk was used for storage to minimize the impact of I/O operations within the test.

A range of existing algorithms have been benchmarked, including a number which use threading to achieve greater speed. The algorithms considered were:

1. **gzip**: the standard GNU implementation of Lempel-Ziv (LZ77).
2. **pigz**: a threaded version of gzip.
3. **bzip2**: an implementation of Burrows-Wheeler block sorting (offers the possibility of recovery of undamaged block).
4. **pbzip2**: a threaded/parallel implementation of bzip2.
5. **lbzip2**: another threaded/parallel implementation of bzip2.
6. **lz4**: a "typically faster" implementation of LZ77 (favoring speed over compression ratio). Pushing to higher compression ratios significantly degrades performance.
7. **lzop**: a separate implementation that trades a small hit in compression time for an improvement in decompression. The performance trade is not apparent at the file size being used in these tests.
8. **zstd**: Also based on the LZ77 family and includes a parallel implementation. In addition this algorithm has implementations/bindings over a wide variety of languages (including Python). The usage of a pre-computed dictionary may offer improvement in speed/compression factor but rigorous testing was not possible for this small set (performance was identical to the untrained algorithm if the full set was used both to train and then obtain benchmarks).
9. **xz**:

The results from benchmark tests are summarized in Tables 7-9, showing compression factor, time to compress per file, and time to decompress per file, respectively. These times do

TABLE 7: Compression Factor Achieved

q	gzip	pigz	bzip2	pbzip2	lbzip2	lz4	lzop	zstd	zstdb
q4	6.73	6.73	9.96	9.95	9.96	3.69	3.11	6.29	6.29
q8	5.54	5.53	8.20	8.20	8.21	3.34	2.96	5.42	5.42
q16	4.69	4.69	6.81	7.01	7.03	3.11	2.82	4.82	4.82
q32	4.04	4.03	6.14	6.14	6.14	2.93	2.66	4.35	4.35
q64	3.62	3.62	5.47	5.47	5.48	2.82	2.47	3.94	3.94
q128	3.38	3.37	4.88	4.88	4.88	2.66	2.32	3.56	3.57
vanilla	1.71	1.71	1.80	1.80	1.80	1.50	1.49	1.72	1.72

TABLE 8: Time to Compress per File

q	gzip	pigz	bzip2	pbzip2	lbzip2	lz4	lzop	zstd	zstdb
q4	4.45	1.18	5.00	1.42	0.85	0.21	0.24	0.36	0.12
q8	6.06	1.64	4.91	1.39	0.82	0.21	0.24	0.42	0.15
q16	8.27	2.24	4.33	1.39	0.82	0.27	0.27	0.55	0.18
q32	10.30	2.76	5.27	1.42	0.79	0.24	0.27	0.58	0.21
q64	11.79	3.00	5.39	1.52	0.88	0.24	0.30	0.61	0.24
q128	12.76	3.21	5.91	1.61	0.94	0.27	0.30	0.67	0.21
vanilla	3.36	0.97	8.94	2.79	1.58	0.15	0.12	0.30	0.15

TABLE 9: Time to Decompress per File

q	gzip	pigz	bzip2	pbzip2	lbzip2	lz4	lzop	zstd	zstdb
q4	0.21	0.24	2.30	1.21	1.27	0.15	0.18	0.27	0.24
q8	0.24	0.27	2.33	1.12	1.24	0.18	0.18	0.27	0.27
q16	0.27	0.27	2.02	1.12	1.21	0.18	0.18	0.27	0.24
q32	0.30	0.30	2.42	1.24	1.24	0.15	0.18	0.27	0.24
q64	0.30	0.30	2.42	1.27	1.09	0.18	0.21	0.27	0.27
q128	0.30	0.33	2.82	1.30	1.24	0.24	0.21	0.30	0.27
vanilla	0.39	0.36	4.36	1.48	1.27	0.15	0.12	0.24	0.24

not include the time to necessary to obtain and apply scale factor used in the quantization. Furthermore, the set of files being compressed are nearly identical (98 Mb) and therefore do not provide any information about algorithmic performance with respect to file size. When a parallel implementation was available the threading was set to use 4 cores.

## 5 Recommendations

Originally the intent of this investigation was to match these results to requirements within the LSST SRD [LPM-17]. This has proven relatively difficult for many reasons. First, the SRD is geared toward instrument/hardware performances, and most requirements are not stated with a performance for a source at a specific signal-to-noise. The guiding principle is

...the measurement errors for fundamental quantities, such as astrometry, photometry and image size, should not be dominated by algorithmic performance.

The differences that result when making measurements on the quantized single-epoch images are typically much smaller than the associated uncertainties when making the same measurement on the never-quantized images. In terms of source flux, at  $S/N=10$  if those differences are adopted as an additional uncertainty, 90% of sources would show an increase of less than  $\frac{1}{10}$ th in their uncertainty when quantization is applied. In addition there is no evidence that the uncertainties of the measurements on the quantized images systematically increase.

Below, our recommendations assume:

- The capability to recompute a reduced-calibrated image product on-the-fly will be possible for users that need such.
- Astronomers have the scientific acumen to understand that measurements and products made using lossy-compressed images will not exactly match those made during release production.
- The tests in this note are inadequate in a couple of respects. The measurement algorithms are not those that will be deployed in the LSST Alert and Data Release Processing. The COADD images/catalogs in the current tests are comprised of a small amount of data and therefore cover a small area and are comprised of 10 to 100 times fewer images than will be the case in the LSST survey. In order to have a detailed understanding of the impact of compression a much larger dataset than *ci\_hsc* is needed.

With these in mind we recommend the following:

1. **Overall:** A lossy compression can certainly be used by LSST to store products that would not otherwise have been available to scientists. Data Release Processing (DRP) PVI im-

ages are the clearest case where lossy compression should be considered as these products otherwise would not be stored, requiring re-computation, or would require a large tape storage infrastructure.

2. **Quantization Factor:** Most scientific use cases should be satisfied by a quantization factor of  $q=16$  or  $q=32$ . Measurements of sources with  $S/N=10$  or greater, made from quantized images do not show significant systematic differences from those made on un-quantized data products.
3. **Algorithm:** The best performing, off-the-shelf candidate for compression is BZIP2 which achieves a compression factor of 5-7. The main drawback to BZIP2 is speed but in trading speed for compression factor the use of LZ or ZSTD would roughly double the storage costs.
4. **When and where to use lossy compression:** Compression should occur after production but before archiving. This ameliorates any risk that compression adversely impacts the ability of LSST to meet science requirements. The availability of compressed products for users is meant to allow followup investigations to proceed without an explicit need for reprocessing.
5. **Other Products:** Within the data storage model there are a few other products that might be considered as candidates for lossy compression. These are: the Data Release Processing (DRP) COADD images, the Alert Processing (AP) templates, and the 60-day store of PVI images from the AP pipeline for PreCover of transients. The realized benefit of storing any of these with lossy compression is 100's of times smaller than the DRP PVI images. Moreover, if lossy compression were used for any of these AP data types, there would be a direct impact on the production results. Therefore we do NOT recommend use of lossy compression without a demonstration that its use would not prevent reaching survey requirements. To do so requires a detailed test with working versions of the pipelines and real? LSST data.
6. **Verification:** The current tests are not realized within the LSST framework or QA effort. They costly to make as they require production to be repeated for each level of quantization. It is recommended that a means to implement tests similar to those detailed here be considered so that as the pipelines and LSST measurement algorithms mature real tests on real data can verify and set the quantization value appropriately.
7. **User Interfaces:** If lossy compression is used there will need to be a decision whether it should be applied on the image pixels only or at the file level. The former has the advantage that header information could be accessible without decompressing but means

that common tools (especially those developed external to LSST) would need additions. The latter choice would alleviate that problem but would place the onus on users (and pipelines) to have the ability to recognize and decompress such products before they could be used.

## 6 WG Membership

Membership of roughly four people is optimal and should include persons familiar with weak-lensing and difference imaging concerns. The proposed membership is:

- Robert Gruendl (NCSA; **Chair**),
- Paul Price (Princeton),
- Bob Armstrong (Princeton),
- Krzysztof Findeisen (UW; replacing John Parejko),
- Sophie Reed (Princeton),
- Eric Morganson (DES/NCSA; observer)
- Ben Emmons (EPO Tucson; observer)

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